

28/3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Patent Application of:
Yoshihiro MORI et al.
Serial No. 09/942,038
Filed: August 30, 2001
For: CAPACITOR AND METHOD FOR
FABRICATING SEMICONDUCTOR
DEVICE

Examiner Yennhu B. Huynh
Group Art Unit: 2813

#13/105
7-23-03
a/

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 9, 2003.

Signature: Linda Ritter

Name: Linda Ritter

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The undersigned certifies that either (1) each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in connection with a counterpart foreign application not more than three (3) months prior to the filing of this statement, or (2) no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

Enclosed is a copy of the Notice of Reasons of Rejection dated March 11, 2003, for a corresponding Japanese patent application together with the references cited therein.

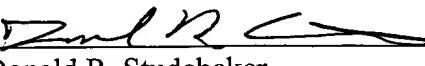
JUN 12 2003
TECHNOLOGY CENTER 2800

RECEIVED

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380. (740819-637)

Respectfully submitted,

By: 
Donald R. Studebaker
Registration No. 32,815

NIXON PEABODY LLP
8180 Greensboro Drive, Suite 800
McLean, Virginia 22102

Telephone: (703) 770-9300